

TENDER DOCUMENT

FOR

PRE-QUALIFICATION FOR PROVISION OF CONSULTANCY SERVICES FOR QUANTITY SURVEYING FOR THE FINANCIAL YEAR 2024 -2025

TENDER NO: KAGRC 002/2024/2025

CLOSING DATE: THURSDAY 8TH AUGUST 2024

INVITATION FOR PRE-QUALIFICATION

Tender No: 002/2024/2025

Tender Name: PRE-QUALIFICATION OF CONSULTANTS FOR THE FINANCIAL

YEAR 2024/*2025*

TENDER REF: KAGRC 002/2024/2025

Kenya Animal Genetic Resources Centre invites applications for Pre-qualification tenders from interested, eligible Consultants FOR PREQUALIFICATION OF CONSULTANCY SERVICES FOR QUANTITY SURVEYING for the financial years 2024 -2025

S/NO	TENDER NUMBER	TENDER DESCRIPTION	ELIGIBILITY
		PROVISION OF	
		CONSULTANCY SERVICES	
1	KAGRC	PROVISION OF	All Bidders
	02/2024/2025	CONSULTANCY SERVICES FOR	
		QUANTITY SURVEYING	
		SERVICES	

Interested candidates may obtain the tender documents with the complete qualification criteria from the Centre's Revenue office located at the administration block A, on Kapenguria road, off Lower Kabete road upon payment of a non-refundable fee of Kshs 1,000.00 in cash or Bankers cheque payable to Kenya Animal Genetic Resources Centre during normal working hours on week days. Tender documents may also be downloaded from our website www.kagrc.go.ke and Treasury portal www.tenders.go.ke free of charge.

Completed bid documents in plain sealed envelopes, marked only with the tender number and tender name and bearing no indication of the tenderer should be addressed to:

Managing Director
Kenya Animal Genetic Resources Centre
P.O Box 23070-00604
Lower Kabete, Nairobi

Be deposited in the tender box situated at the reception area of the administration block A not later than **Thursday 8**th **August 2024 at 10.00am**. Late bids will be rejected and returned unopened.

Submitted bids will be opened publicly in the Centre's boardroom A2, situated at the Administration Block A along Kapenguria road, off Lower Kabete road, immediately

after closing of the tender in the presence of the candidates or their representatives who choose to attend.

NOTE:

- 1. Late applications will be rejected.
- 2. Electronic Tenders will not be permitted.
- 3. Prequalification documents will be opened immediately thereafter.
- 4. Tenderers or their Representatives are free to attend the opening.

Note: This is a tender for prequalification of suppliers for consultancy services for quantity surveying services.



SECTION I - INSTRUCTIONS TO APPLICANTS (ITA)

A. General

1. Scope of Application

- 1.1 The Kenya Animal Genetic Resources Centre hereinafter referred to as the Procuring Entity inviting for applications is defined in the PDS. The particular type of contract (works, goods or Non-Consulting Services required) and its name and description of the contract(s) and its reference number are defined in the PDS. If the scope of contract so defined is in multiple contracts, it will be specified in the PDS if prequalification will be based on individual contracts or multiple contracts. The Full scope of Works or Goods or Non-Consulting Services are described in Section V (Scope of Works or goods contract).
- 2 Source of Funds to be specified in the PDS, if deemed necessary.

3 Fraud and Corruption

- 3.1 The Government of Kenya requires compliance with its Anti-Corruption laws and its prevailing sanctions policies and procedures.
- 3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and their personnel, to permit the Public Procurement Regulatory Authority (PPRA) to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, tender submission(incase prequalified),proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the PPRA.

4 Collusive practices

4.1 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any applicant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, applicants shall be required to complete and sign a Certificate of Independent Tender Determination" annexed to the Form of applicant.

5 Eligible Applicants

5.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2. An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 5.9 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the prequalification process,

tendering (in the event the JV submits a Tender) and during contract execution (in the event the JV is awarded the Contract). Members of a joint venture may not also make an individual tender, be a subcontractor in a separate tender or be part of another joint venture for the purposes of the same Tender. The maximum number of JV members shall be specified in the PDS.

- 5.2 Public Officers of the Procuring Entity, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to be prequalified. Public Officers with such relatives are also not allowed to participate in any procurement proceedings.
- 5.3 A firm may apply for prequalification both individually, and as part of a joint venture, or participate as a subcontractor. If prequalified, it will not be permitted to tender for the same contract both as an individual firm and as a part of the joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Tender, but only in that capacity. Tenders submitted in violation of this procedure will be rejected.
- 5.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for prequalification either individually, as joint venture or as a subcontractor among them for the same contract. However, if prequalified, only one prequalified Applicant will be allowed to tender for the. All Tenders submitted in violation of this procedure will be rejected.
- 5.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. sub-contractors or suppliers for any part of the Contract including related Non-Consulting Services.
- 5.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Procuring Entity as Engineer for contract implementation of the contract(s) that are the subject of this prequalification. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Procuring Entity who:
- a are directly or indirectly involved in the preparation of the prequalification Document or Invitation to Tender (ITT), Document or specifications of the Contract, and/or the Tender evaluation process of such Contract; or
- b would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the prequalification, ITT process and execution of the Contract.
- 5.7 An Applicant that has been debarred shall be ineligible to be initially selected for,

- prequalified for, tender for, propose for, or be awarded a contract during such period of time as the PPRA shall have determined. The list of debarred firms and individuals is available at www.ppra.go.ke
- 5.8 Applicants that are state-owned enterprise or institutions in Kenya may be eligible to prequalify, compete and be awarded a Contract(s) only if they can establish, in a manner accept able to the Procuring Entity, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of any public entity.
- 5.9 An Applicant shall not be under sanction of debarment from Tendering by the PPRA as the result of the execution of a Tender/Proposal–Securing Declaration.
- 5.10 An Applicant that is a Kenyan firm or citizen shall provide evidence of having fulfilled his/her tax obligations by producing a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority.
- 5.11 An Applicant shall provide any other such documentary evidence of eligibility satisfactory to the Procuring Entity, as the Procuring Entity shall reasonably request.

6 Eligibility

- 6.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated herein. The countries, persons or entities are in eligible if:
- a. As a matter of law or official regulations, Kenya prohibits commercial relations with that country, or
- b. By an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or Non- Consulting Services from that country, or any payments to any country, person, or entity in that country.
- 6.2 When the Works, supply of Goods or provision of non-consulting services are implemented a cross jurisdictional boundary (and more than one country is a Procuring Entity, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1 (a) above by any country may be applied to that procurement a cross other countries involved, if the Procuring Entities involved in the procurement so agree.
- 6.3 Any goods, works and production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harmful to human beings and to the environment shall not be eligible for procurement.

B. Contents of the Prequalification Documents

7 Sections of Prequalification Document

7.1 This Prequalification Document consists of parts1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with IT A8.

PART 1 - Prequalification Procedures

- i) Section I- Instructions to Applicants (ITA)
- ii) Section II Prequalification Data Sheet (PDS)
- iii) Section III Qualification Criteria and Requirements
- iv) Section IV- Application Forms

PART 2 - Works, Goods, or Non-Consulting Services Requirements

- i) Section VII- Scope of Works, Goods, or Non-Consulting Services
- 7.2 Unless obtained directly from the Procuring Entity, the Procuring Entity accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Prequalification Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Procuring Entity shall prevail.
- 7.3 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish with its Application all information or documentation as is required by the Prequalification Document.
- 8 Clarification of Prequalification Documents, site visit(s) and Pre-Application Meeting
- 8.1 An Applicant requiring any clarification of the Prequalification Document shall contact the Procuring Entity in writing at the Procuring Entity's address indicated in the PDS. The Procuring Entity will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Procuring Entity shall forward a copy of its response to all prospective Applicants who have obtained the Prequalification Document directly from the Procuring Entity, including a description of the inquiry but without identifying its source. If so indicated in the PDS, the Procuring Entity shall also promptly publish its response at the webpage identified in the PDS. Should the Procuring Entity deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure under ITA 8. And in accordance with the provisions of ITA 17.2.
- 8.2 The Applicant, at the Applicant's own responsibility and risk, is encouraged to visit and examine and inspect the Site of the required contracts and obtain all information that may be necessary for preparing the application. The costs of visiting the Site shall be at the Applicant's own expense. The Procuring Entity shall specify in the PDS if a pre-application meeting will be held, when and where. The Procuring Entity shall also specify in the PDS if a pre-arranged Site visit will be held and when. The Applicant's designated representative is invited to attend a pre-application meeting and a pre-arranged site visit. The purpose of the meetings will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 8.3 The Applicant is requested to submit any questions in writing, to reach the Procuring Entity not later than the period specified in the **PDS** before the submission date of applications.

- 8.4 Minutes of a pre-arranged site visit and those of the pre-application meeting, if applicable, including the text of the questions asked by Applicants and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Applicants who have acquired the prequalification documents. Minutes shall not identify the source of the questions asked.
- 8.5 The Procuring Entity shall also promptly publish anonymized (*no names*) Minutes of the pre-arranged site visit and those of the pre-proposal meeting at the web page identified in the PDS. Any modification to the Prequalification Documents that may become necessary as a result of the pre-arranged site visit and those of the pre-application meeting shall be made by the Procuring Entity exclusively through the issue of an Addendum pursuant to PDS 8 and not through the minutes of the pre-application meeting. Non-attendance at the pre- arranged site visit and the pre-tender meeting will not be a cause for disqualification of a Tenderer.

9 Amendment of Prequalification Document

- 9.1 At any time prior to the deadline for submission of Applications, the Procuring Entity may amend the Prequalification Document by issuing an Addendum.
- 9.2 Any Addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all Applicants who have obtained the Prequalification Document from the Procuring Entity. The Procuring Entity shall promptly publish the Addendum at the Procuring Entity's webpage identified in the PDS.
- 9.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Procuring Entity may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

10 Cost of Applications

10.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Procuring Entity will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

11 Language of Application

11.1 The Application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Procuring Entity, shall be written in English Language. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the English language, in which case, for purposes of interpretation of the Application, the translation shall govern.

12 Documents Comprising the Application

- 12.1 The Application shall comprise the following:
- a. Application Submission Letter, in accordance with ITA 13.1;

- b. Eligibility: documentary evidence establishing the Applicant's eligibility, in accordance with ITA 14.1;
- c. Qualifications: documentary evidence establishing the Applicant's qualifications, in accordance with ITA 15; and
- d. Any other document required as specified in the PDS.
- 12.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.

13 Application Submission Letter

13.1 The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.

14 Documents Establishing the Eligibility of the Applicant

14.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

15 Documents Establishing the Qualifications of the Applicant

- 15.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).
- 15.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:
- a For construction turnover or financial data required for each Year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).
- b Value of single Contract-Exchange rate prevailing on the date of the contract.
- 15.3 Exchange rates shall be taken from the publicly available source identified in the PDS. Any error in determining the exchange rates in the Application may be corrected by the Procuring Entity.
- 15.4 Applicants shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a particular contractor or group of contractors qualifies for a margin of preference. Further the information will enable the Procuring Entity identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between Applicants, and thereby help to prevent any corrupt influence in relation to the procurement processor contract management.
- 15.5 The purpose of the information described in ITT 6.2 above overrides any claims

to confidentiality which an Applicant may have. There can be no circumstances in which it would be justified for an Applicant to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Procuring Entity as a justification for an Applicant's failure to disclose, or failure to provide required in formation on its ownership and control.

- 15.6 The Applicant shall provide further documentary proof, information or authorizations that the Procuring Entity may request in relation to ownership and control which information on any changes to the information which was provided by the Applicant under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.
- 15.7 All information provided by the Applicant pursuant to these requirements must be complete, current and accurate as at the date of provision to the Procuring Entity. In submitting the information required pursuant to these requirements, the Applicant shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Procuring Entity.
- 15.8 If an Applicant fails to submit the information required by these requirements, its application will be rejected. Similarly, if the Procuring Entity is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by an Applicant pursuant to these requirements, then the application will be rejected.
- 15.9 If information submitted by an Applicant pursuant to these requirements, or obtained by the Procuring Entity (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the Applicant in relation to the procurement or contract management process, then:
- a. If the procurement process is still ongoing, the Applicant will be disqualified from the procurement process,
- b. If the contract has been awarded to that Applicant, the contract award will be set aside.
- 15.10 the Applicant will be referred to the relevant law enforcement authorities for investigation of whether the Applicant or any other persons have committed any criminal offence.
- 15.11 If an Applicant submits information pursuant to these requirements that is incomplete, in accurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 6.7 will ensue unless the Applicant can show to the reasonable satisfaction of the Procuring Entity that any such act was not material, or was due to genuine error which was not attributable to the intentional act, negligence or recklessness of the Applicant.

16 Signing of the Application and Number of Copies

16.1 The Applicant shall prepare one original of the documents comprising the

Application as describedinITA11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.

16.2 The Applicant shall submit copies of the signed original Application, in the number specified in the PDS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

17 Sealing and Marking of Applications

- 17.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
- a Bear the name and address of the Applicant;
- b Be addressed to the Procuring Entity, in accordance with ITA 17.1; and
- c Bear the specific identification of this prequalification process indicated in the PDS1.1.
- 17.2 The Procuring Entity will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

18 Deadline for Submission of Applications

- 18.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Procuring Entity at the address and no later than the deadline indicated in the PDS. When so specified in the PDS, Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified in the PDS.
- 18.2 The Procuring Entity may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of the Procuring Entity and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

19 Late Applications

19.1 The Procuring Entity reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the **PDS**. If late applications will be accepted, they must be received not later than the date specified in the **TDS** after the deadline for submission of applications.

20. Opening of Applications

- 20.1 The Procuring Entity shall open all Applications at the date, time and place specified in the **PDS**. Late Applications shall be treated in accordance with ITA 19.1.
- 20.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified in the **PDS**.

20.2 The Procuring Entity shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

E. Procedures for Evaluation of Applications

21 Confidentiality

- 21.1 Information relating to the Applications, their evaluation and results of the prequalification shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of prequalification results is made to all Applicants in accordance with ITA 28.
- 21.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Procuring Entity on any matter related to the prequalification process may do so only in writing.

22 Clarification of Applications

- 22.1 To assist in the evaluation of Applications, the Procuring Entity may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Procuring Entity and all clarifications from the Applicant shall be in writing.
- 22.1 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Procuring Entity's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

23 Responsiveness of Applications

23.1 The Procuring Entity may reject any Application which is not responsive to the requirements of the Prequalification Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant.

24 Margin of Preference

24.1 Unless otherwise specified in the **PDS**, a margin of preference shall not apply in the Tendering process resulting from this prequalification.

25 Nominated Subcontractors

- 25.1 Unless otherwise stated in the PDS, the Procuring Entity does not intend to execute any specific elements of the works by sub-contractors selected in advance by the Procuring Entity (so-called "Nominated Subcontractors").
- 25.2 The Applicant shall not propose to subcontract the whole of the Works or Goods. The maximum limit of subcontracting permitted under the contract may be

specified by the Procuring Entity in the Tendering Document. The Procuring Entity, in ITA 25.2, may permit the Applicant to propose subcontractors for certain specialized parts of the contract as indicated there in as ("Specialized Subcontractors"). Applicants planning to use such Specialized Subcontractors shall specify, in the Application Submission Letter, the activity(ies) or parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

F. Evaluation of Applications and Prequalification of Applicants

26 Evaluation of Applications

- 26.1 The Procuring Entity shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Procuring Entity reserves the right to waive min or deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.
- 26.2 Subcontractors proposed by the Applicant shall be fully qualified and meet the minimum specific experience criteria as specified for their parts of the proposed contract for Works or Goods or non-consulting services. The subcontractor's qualifications shall not be used by the Applicant to qualify for the Works or Goods or non-consulting services unless their parts of the Works or Goods or non-consulting services were previously designated by the Procuring Entity in the PDS as can be met by Specialized Subcontractors, in which case:
- i) The Specialized Subcontractors shall meet the minimum qualification requirements specified in Section III, and
- the qualifications with respect to specific experience of the Specialized Subcontractor proposed by the ApplicantmaybeaddedtothequalificationsoftheApplicantforthepurposeoftheevaluation.
- Unless the Applicant has been determined prequalified on its own without taking into account the qualification and experience of the proposed specialized subcontractor, the tender submitted by the Applicant shall include the same specialized sub-contractor failing which, such tender may be rejected unless a change in the specialized sub-contractor was requested by the Applicant and approved by the Procuring Entity subsequent to prequalification but before the tender submission deadline in accordance with ITA 30.
- 26.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Procuring Entity shall prequalify each Applicant for each lot and for a combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements the Eligibility and Qualification Criteria.
- 26.4 Further, in the case of multiple contracts, the Procuring Entity will prepare the Eligibility and Qualification Criteria Form for items 3.1, 3.2, 4.2(a) and 4.2(b) for each Lot, to be completed by applicants.

26.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

27 Procuring Entity's Right to Accept or Reject Applications

27.1 The Procuring Entity reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

28 Prequalification of Applicants

- 28.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Procuring Entity. The Procuring Entity shall notify all Applicants in writing of the names of those Applicants who have been prequalified or conditionally prequalified. In addition, those Applicants who have been disqualified will be informed separately.
- 28.32 Applicants that have not been prequalified may write to the Procuring Entity to request, in writing, the grounds on which they were disqualified.

28 Invitation to Tender

- 29.1 Promptly after the notification of the results of the prequalification, the Procuring Entity shall invite Tenders from all the Applicants that have been prequalified or conditionally prequalified.
- 28.2 Applicants may be required to provide a Tender Security or a Tender-Securing Declaration acceptable to the Procuring Entity in the form and an amount to be specified in the tendering document.
- 28.3 The successful Applicant shall be required to provide a Performance Security as specified in the tendering document.

29 Changes in Qualifications of Applicants

30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to tender (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Procuring Entity prior to the deadline for submission of Tenders. Such approval shall be denied if (i) a prequalified applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or (iii)in the opinion of the Procuring Entity, the change may result in a substantial reduction in competition. Any such change should be submitted to the Procuring Entity not later than fourteen (14) days after the date of the Invitation to Tender.

31 Procurement Related Complaints and Administrative Review

31.1 The procedures for making a Procurement-related Complaint are as specified in

the PDS. 31.2 A request for administrative review shall be made in the form provided.

SECTION II - PREQUALIFICATION DATA SHEET (PDS)

ITC Clause					
A. General					
ITA 1.1	S/	TENDER NUMBER	TENDER DESCRIPTION	ELIGIBILITY	
			PROVISION OF CONSULTANCY SERVICES		
	01	KAGRC 02/2024/2025	PROVISION OF CONSULTANCY SERVICES FOR QUANTITY SURVEYING SERVICES	All Bidders	
ITA 2	Th	e Source of funds shall b	e N/A		
ITA 5.2	Ma	aximum number of men	nbers in the JV shall be:N/A	[insert a number]	
B. Conte	nts of t	he Prequalification Doc	ument		
ITA 8.1	KE P.C Att inf wv Ph	NYA ANIMAL GENETION BOX 23070-0604 KANIMAL GENETION BOX 23070-0604 KANIMAL GENETION BOX 200. MEDION BOX 200. M	BETE ctor ANIMAL GENETIC RESOURCES CEN	ITRE	

Reference to	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
A. General	
	Telephone: 0728899767
	Electronic mail address: info@kagrc.go.ke
	www.kagrc.go.ke
ITA 8.2	A pre-application meeting will not be held
	A pre-arranged Site visit will be held onN/A At
ITA 8.3	Questions and requests for clarification made in writing or by email shall reach the Procuring Entity not later than 6^{th} August 2024.
ITA 8.5	Minutes of the pre-arranged site visit and those of the pre-proposal meeting at the web page (Web page of the Procuring Entity).
ITT 9.2	Addendum issued shall be published at the website _www.kagrc.go.ke
ITA 8.2	Pre-Application Meeting will not be held
C. Preparation	on of Applications
ITA 12.1 (d)	The Applicant shall submit with its Application, the following additional documents: N/A
ITA 15.2(b)	The source for determining exchange rates is $N\!/\!A$
ITA 16.2	In addition to the original, the number of copies to be submitted with the Application is: ONE (1) COPY
D. Submissio	n of Applications
ITA 17.1	The deadline for Application submission is:

Reference to	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
ITC Clause	
A. General	
	Date: 12TH AUGUST 2024
	Time: 10.00 A.M
	For Application submission purposes only, the Procuring Entity's address is:
	[THE MANAGING DIRECTOR,
	KENYA ANIMAL GENETIC RESOURCES CENTRE
	P.O BOX 23070-0604
	KABETE
	Applicants shall not have the option of submitting their applications electronically.
ITA 18.1	
	Late Applications will be returned unopened to the Applicants.
	[or]
	The Procuring Entity reserves the right to accept or reject late Applications.
ITA 19.1	The Procuring Entity will/will not accept late applications.
	If late applications will be accepted, they must be received not later than8 th August 2024 after the deadline for submission of applications
ITA 20.1	The opening of the Applications shall be at Kenya animal genetic Resources Centre Boardroom
ITA 20.2	[The following provision should be included and the required corresponding information inserted only if Applicants have the option of submitting their Applications electronically. Otherwise omit.]
	The electronic Application opening procedures shall be:
	[insert a description of the electronic Application opening procedures.]
E. Procedure	s for Evaluation of Applications
ITA 24.1	A margin of preference [insert either "shall" or "shall not"]apply.

	PARTICULARS OF APP	PENDIX TO INSTRUCTIONS TO TENDERS
ITC Clause A. General		
ITA 25.1	Works by sub-contra	uring Entity [insert "intends" or "does not intend"] to execute certain specific parts of the ctors selected in advance. "intends" list the specific parts of the works and the respective sub-contractors]
	INSTRUCTIONS TO TENDERERS REFERENCE	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
	2.1	The prequalification shall run for a period of one years.
		Prequalified candidates shall be invited for a mini – competition as per Section 114 (3) (b) of the Public Procurement and Asset Disposal Act, 2015.
		Terms of Reference are the initial statement to the consultants of the services to be performed and should therefore be clear and precise and should contain the following sections:
		 a. Background b. Scope of the Services c. Objective of the Assignment d. Training (where appropriate) e. Reports and Time Schedule f. Project Team g. Terms of Payment.

Reference to PARTICUL ITC Clause A. General	ARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
A. General	 i. Background Kenya Animal Genetic Resources Centre (KAGRC) is a state corporation under the Ministry of Agriculture, Livestock and Fisheries established through Gazette Notice No. L.N. 110 of September, 2011. It has been in operation since 1946 as CAIS and its mandate is to produce, preserve and conserve animal genetic material (semen embryo, tissues and live materials) and rear breeding bulls for provision of high quality disease free semen to meet national demand and for export. KAGRC works in close collaboration with other breeding organizations, societies and individual farms which provide herds for the breeding program such as the Kenya stud book, the Dairy Recording services of Kenya and the Livestock recording Centre. The Centre is currently operating several Liquid Nitrogen Plants Countrywide in order to facilitate access to the key preservative of bull semen. ii. Objective of Assignment a. To prepare Bills of Quantities with estimates for various houses and buildings so as to enable the Centre to prepare adequate and reliable Procurement Plans.

	ARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
C Clause Ceneral	
	iii. Scope of Services
	Procuring entity shall shortlist <u>Surveying Firms</u> whose scope of service shall include but not limited to the following: 1. All participating firms shall meet the following minimum requirements of their personnel i. Two registered Quantity Surveyors ii. Two Technicians in Quantity Surveying iii. An expert/contractor in plumbing 2. To survey all houses and buildings in Kabete, Kirinyaga, Eldoret and Sotik and prepare a priority list for renovation works and construction works. 3. To take measurements and submit bills of quantities with estimates of all the buildings and houses in the priority list.
	4. To work in conjunction with the Centre's Representatives/Committee and prepare Bills of Quantities for tendering.
	iv. Reports and Time Schedule
	Each firm will be required to submit reports to the Centre in the prescribed format and time as shall be instructed by the Head of Civil Engineering from time to time.
	v. Project Team
	The Head of Projects Development and Management shall constitute a project team which shall comprise his representatives and those of each of

of this Project Team is to ensure timely deliverables, quality work from contractors and cost management. vi. Terms of Payment The Consultants shall be paid on time basis in accordance with the Confederation Agreement. 2.3.1 Eligible candidates shall be Quantity Surveying Consultancy firms registered Board of Registration of Architects and Quantity Surveyors (Kenya) as Quasurveying consultants. 2.4 The prequalification document shall: i. Shall have a table of contents page clearly indicating Sections and Numbers (Mandatory).	N	
The Consultants shall be paid on time basis in accordance with the Consultants. 2.3.1 Eligible candidates shall be Quantity Surveying Consultancy firms registered Board of Registration of Architects and Quantity Surveyors (Kenya) as Quantiveying consultants. 2.4 The prequalification document shall: i. Shall have a table of contents page clearly indicating Sections and Numbers (Mandatory).		the selected Quantity Surveying Consultancy Firms. The sole responsibility of this Project Team is to ensure timely deliverables, quality work from the contractors and cost management.
2.3.1 Eligible candidates shall be Quantity Surveying Consultancy firms registered Board of Registration of Architects and Quantity Surveyors (Kenya) as Quantity Surveying consultants. 2.4 The prequalification document shall: i. Shall have a table of contents page clearly indicating Sections and Numbers (Mandatory).		vi. Terms of Payment
Board of Registration of Architects and Quantity Surveyors (Kenya) as Quantity Surveyors (Kenya)		The Consultants shall be paid on time basis in accordance with the Contract Agreement.
 2.4 The prequalification document shall: i. Shall have a table of contents page clearly indicating Sections and Numbers (Mandatory). 	2.3.1	Eligible candidates shall be Quantity Surveying Consultancy firms registered with Board of Registration of Architects and Quantity Surveyors (Kenya) as Quantity Surveying consultants.
Numbers (Mandatory).	2.4	•
and files (spring and box) are not acceptable (Mandatory).		Numbers (Mandatory). ii. Shall have pages in the whole document numbered in the correct sequence including all appendixes and attachments (Mandatory). iii. Shall be firmly bound and should not have any loose pages. Spiral binding and files (spring and box) are not acceptable (Mandatory).

TC Clause	
. General	
	 a. Particulars of Tendering Company to include: Company background/Profile (Mandatory). Postal and physical address of the business (Mandatory). Email address (Mandatory). Certificate of Registration/Incorporation (Mandatory). Validy/Current Tax Compliance Certificate from relevant Authorities where the business operations of tenderer are domiciled (Mandatory). Validy/current Business Permit where the business operations (Mandatory). CR12 Letter from Registrar of Companies or equivalent to show names of Directors of the tendering company (in case of a company), Name of Proprietor (for Sole Proprietor and Business Name) and Names of Partners (for Partnerships) – as applicable (Mandatory). D. Quantity Surveying Consultancy firms registered with Board of Registration of Architects and Quantity Surveyors (Kenya) as Quantity Surveying consultants (Mandatory). Duly filled, signed and stamped technical proposal Submission Form (Mandatory). Duly filled and signed Tender –Securing Declaration Form (Mandatory). Provide Certified / Stamped copies of Audited Accounts for the last two (2) financial years 2022 and 2024. Duly filled, signed and stamped Confidential Business Questionnaire Form in the format provided herein (Mandatory). Duly filled, signed and stamped Confidential Business Questionnaire Form in the format provided herein (Mandatory). Duly filled, signed and stamped Anti-Corruption Declaration Form in the format provided herein (Mandatory). Written undertaking in bidder's letterhead that the staff and major equipment / plant proposed shall be available for the entire duration of the contract (Mandatory).

 j. Personnel qualifications to include current and val academic and current CVs. k. Experience of Technical personnel for the firm. l. List of projects undertaken and successfully executable 5 years. In addition, the following shall be criteria for qualification. 	ited by the firm in the last
No. Evaluation Attribute	Max Points
registration of the firm by BORAQS. Scoring: • 3 years: 5marks, • 5 years and above to get full score: 10 Marks	30
Qualifications and experience of at least two ke technical personnel and their current CVs an copies of certificates: a. Qualification of the principal Quantit Surveyor should be at a minimum of th following:	y d
	academic and current CVs. k. Experience of Technical personnel for the firm. l. List of projects undertaken and successfully execute 5 years. In addition, the following shall be criteria for qualification. No. Evaluation Attribute 1. No. of years in existence since registration of the firm by BORAQS. Scoring: • 3 years: 5marks, • 5 years and above to get full score: 10 Marks 2. Technical Capability to undertake the projects: Qualifications and experience of at least two ketechnical personnel and their current CVs an copies of certificates: a. Qualification of the principal Quantites Surveyor should be at a minimum of the

C Clause		
General		
	b. Qualification of Senior Quantity Survershould be at a minimum of the following	•
	At least 5 years' experience marks)	(5
	Minimum Degree in in Quan Surveying (5 marks)	tity
	c. Qualification of Quantity Survey technician should be at a minimum of following:	
	At least 3 years' experience marks)	(5
	Minimum Diploma in Quan Surveying (5 marks)	tity
	3. Firms Experience	25
	Experience in previous and on-going services we Corporate bodies in the last five years distribut as below: • At least 5 jobs - 25 marks • At least 3 jobs - 20 marks	

General	NOTE: Bidders MUST provide the coragreement and Contract Prices, names addresses of clients who may be contacte further information on these contracts for of the services provided to be considered. 4. Audited Accounts: Attach Certified copies of audited accounts the consulting firm for the past Two years 2 and 2023.	and d for each 30	
	To be prequalified, a candidate shall conform to all	100 mandatory requireme	ents and
2.5.1	have an overall score of 70%. Joint Venture is not applicable in this prequalification	 I.	
2.8.1	Clause not applicable		

SECTION III - QUALIFICATION CRITERIA AND REQUIREMENTS

- 1. This section contains all the methods, criteria, and requirements that the Procuring Entity shall use to evaluate Applications, all in one Form "Eligibility and Qualification Criteria". The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the Form.
- 2. The Procuring Entity shall insert one Form for <u>each Lot or Contract</u> in case of multiple contracts.
- 3. This form is generic and refers to works and construction. In case of Supply of Goods or Non-Consulting Services, the form shall be amended to read Goods or Non-Consulting Services as appropriate.

Eligi	bility and Qua	ification Criteria	Compliance P		Document/ Form				
FOF	R LOT	(in case							
of N	Multiple contrac	cts)							
No				Joint Venture	(existing or inter	nded)	Submission		
	Subject	Requirement	Single Entity	All Members Each Member Combined		One Member	Requirement		
1. El	igibility				,	•			
1.1	Nationality	Nationality in accordance with ITA 5.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments		
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 5.7	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter		
1.3	Eligibility	Not declared ineligible by not meeting any of the conditions in ITA 5 and 6.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter		
1.4	State-owned Entity in Kenya	Applicant required to meet conditions of ITA 5.9	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI -1.1 and 1.2, with attachments		
1.5	United Nations resolution or laws of Kenya	Not having been excluded as a result of prohibition in the laws of Kenya or official regulations against commercial relations with Kenya, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 6.1 and 6.2 and Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments		

Eligi	Eligibility and Qualification Criteria			Requirement	Document/ Form		
				Joint Ventur	e (existing o	r intended	
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement
2. H	listorical Contract N	on-Performance					
2.1	History of Non- Performing Contracts	Non-performance of a contract ¹ did not occur as a result of contractor's default since 1st January [insert year].	Must meet requireme nt ¹	Must meet requiremen ts	Must meet requireme nt ²	N/A	Form CON-2
2.2	Suspension Based on Execution of Tender/Proposal Securing Declaration by the Procuring Entity	Not under suspension based on execution of a Tender/Proposal Securing Declaration pursuant to ITA 5.10.	Must meet requireme nt	Must meet requiremen t	Must meet requireme nt	N/A	Application Submission Letter
2.3	Pending Litigation	Applicant's financial position and prospective long-term profitability still	Must meet requireme	N/A	Must meet	N/A	Form CON – 2

Nonperformance, as decided by the Procuring Entity, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Procuring Entitys decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant as JV member.

Eligi	Eligibility and Qualification Criteria			Requirement		Document/ Form	
				Joint Ventur	e (existing o	r intended	
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement
		sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	nt		requireme nt		
2.4	Litigation History	No consistent history of court/arbitral	Must meet	Must meet	Must	N/A	Form CON – 2
		award decisions against the Applicant ³	requireme	requiremen	meet		
		since 1st January [insert year]	nt	t	requireme		
2 5					nt		
	inancial Situation ar		Ι	Τ	T	T	T =
3.1	Financial	(i) The Applicant shall demonstrate	Must meet	Must meet	N/A	N/A	Form FIN – 3.1,
	Capabilities	that it has access to, or has available,	requireme	requiremen			with attachments
		liquid assets, unencumbered real assets, lines of credit, and other	nt	t			
		financial means (independent of any					
		contractual advance payment)					
		sufficient to meet the construction					
		cash flow requirements estimated as					
		[insert amount in KENYA					
		SHILLINGS] for the subject contract(s)			N/A	N/A	
		net of the Applicants other	Must meet	Must meet			
		commitments	requireme	requiremen			

³ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

Eligi	Eligibility and Qualification Criteria			Compliance Requirements				
		Joint Ventur	e (existing o	r intended				
No ·	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement	
		(ii) The Applicant shall also demonstrate, to the satisfaction of the Procuring Entity, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments. (iii) The audited balance sheets or, if not required by the laws of Kenya, other financial statements acceptable to the Procuring Entity, for the last [insert number] years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability.	Must meet requireme nt	t N/A	Must meet requireme nt	N/A		
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover of KENYA SHILLINGS [insert amount in KENYA SHILLING equivalent in words and figures], calculated as total certified payments received for contracts in progress and/or completed within the last	Must meet requireme nt	Must meet requiremen t	Must meet [insert number] %, [insert percentag e in	Must meet [insert number] %, [insert percentage in words] of the requirement	Form FIN – 3.2	

Eligi	Eligibility and Qualification Criteria			Requirement	Document/ Form			
				Joint Ventur	e (existing o	r intended		
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement	
		[insert number] years, divided by [insert number of years in words] years			words] of the requireme nt			
4. E	xperience							
4.1 (a)	General Construction Experience	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last [insert number] years, starting 1st January [insert year].		N/A	Must meet requireme nt	N/A	Form EXP – 4.1	
4.2 (a)	Specific Construction & Contract Management Experience	(i) A minimum number of [state the number] similar contracts specified below that have been satisfactorily and substantially ⁴ completed as a prime contractor, joint venture member ⁵ , management contractor or	Must meet requireme nt	Must meet requiremen t ⁶	N/A	Must meet the following requirements for the key activities listed below [list key]	Form EXP 4.2(a)	

⁴ Substantial completion shall be based on 80% or more works completed under the contract.

⁵ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

⁶ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In

Eligi	Eligibility and Qualification Criteria			Compliance Requirements				
				Joint Ventur	e (existing o	r intended		
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement	
		subcontractor between 1st January [insert year] and Application submission deadline:				activities and the corresponding minimum requirements to be met by one member otherwise state: "N/A"]		
4.2 (b)		For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or subcontractor between 1st January [insert year] and Application submission deadline, a minimum construction experience in the following key activities successfully completed7: [list key activities indicating volume,	Must meet requireme nts [Specify activities that may be met through a specialized subcontractor, if	Must meet requiremen ts [Specify activities that may be met through a Specialized Subcontract		Must meet the following requirements for key activities listed below [if applicable, out of the key activities in the first column of	Form EXP – 4.2 (b)	

determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

⁷ Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligi	Eligibility and Qualification Criteria			Compliance Requirements				
				Joint Ventur	e (existing c	or intended		
No ·	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement	
		number or rate of production as applicable. Under 4.2(a), specified requirements define similarity of contracts, whereas the key activities or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works. There shall not be any inconsistency or repetition of requirement between 4.2(a) and 4.2(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month period in	permitted in accordance with ITA 25.2]	or, if permitted in accordance with ITA 25.2]		this 4.2 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one member, otherwise this cell should state: "N/A".]		

⁸ The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

Eligi	Eligibility and Qualification Criteria		Compliance		Document/ Form		
				Joint Ventur	e (existing o	r intended	
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement
4.3 (a)	Specific Management Experience in supply of goods	(i) A minimum number of [state the number] similar contracts specified below that have been satisfactorily and substantially completed as a prime supplier, joint venture member ¹⁰ , management contractor or subcontractor between 1st January [insert year] and Application submission deadline: The similarity of the contracts shall be based on the following: [Based on Section VII, Scope of goods contracts, specify the minimum key requirements in terms of physical size, complexity,	Must meet requireme nt	Must meet requiremen t ¹¹	N/A	Must meet the following requirements for the key activities listed below [list key activities and the corresponding minimum requirements to be met by one member otherwise state: "N/A"]	Form EXP 4.3(a)

⁹ Substantial completion shall be based on 80% or more works completed under the contract.

For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Eligibility and Qualification Criteria			Compliance Requirements			Document/ Form	
				Joint Ventur	e (existing o	r intended	
No	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement
		construction method, technology and/or other characteristics including part of the requirements that may be met by Specialized Subcontractors, if permitted in accordance with ITA 25.2]					
4.3 (b)		For the above and any other contracts [substantially completed and under implementation] as prime supplier, joint venture member, or subcontractor between 1st January [insert year] and Application submission deadline, a minimum construction experience in the following key	Must meet requireme nts [Specify activities that may be met through a specialized subcontractor, if	Must meet requiremen ts [Specify activities that may be met through a Specialized Subcontract	N/A	Must meet the following requirements for key activities listed below [if applicable, out of the key activities in the first column of	Form EXP – 4.2 (b)

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
	Subject			Joint Venture (existing or intended			
No		Requirement	Single Entity	All Members Combined	Each Member	One Member	Submission Requirement
		activities successfully completed ¹² : [list key activities indicating volume, number or rate of production as applicable. Under 4.3(a), specified requirements define similarity of contracts, whereas the key activities or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works. There shall not be any inconsistency or repetition of requirement between 4.3(a) and 4.3(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month period in the specified period,] ¹³	permitted in accordance with ITA 25.2]	or, if permitted in accordance with ITA 25.2]		this 4.3 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one member, otherwise this cell should state: "N/A".]	

¹² Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

¹³ The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

SECTION IV- APPLICATION FORMS

1.	Application Submission Letter					
	:[insert day, month, and year] No. and title:[insert lTT number and title]					
To:						
b)	No conflict of interest: We have no conflict of interest in accordance with ITA 5.7;					
c)	Eligibility: We (and our subcontractors) meet the eligibility requirements as stated ITA 5, we have not been suspended by the Procuring Entity based on execution of a Tender/Proposal-Securing Declaration in accordance with ITA 5.8;					
Susp	ension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under the Kenya laws or official regulations or pursuant to a decision of the United Nations Security Council;					
State	e-owned enterprise or institution: [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA5.9];					
f)	Subcontractors and Specialized Subcontractors: We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works or supply contracts:					
(g)	Commissions, gratuities, fees: We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding Tendering process or execution of the Contract:					
Nar	me of Recipient Address Reason Amount					

[in	sert full name for each occurrence]	[insert street/ number/city/co untry]	[indicate reason]	[specify amount currency, value, exchange rate and KENYA SHILLING equivalent]
[If r	commissions of	made or promised, add r gratuities have been or ating to this Application)	r are to be paid by us	
(h)	process thatyouareneith the prequalifie	accept: We understand at herboundtoacceptanyAp ed Applicants to Ter n process, without incu ch ITA 26.1.	any toplicationthatyoumander for the cont	ime and yreceivenortoinvite ract subject of this
i)		ct: All information, state in all respect true, corred belief.	-	
	Signedive(s)oftheApp	[i licant]	insertsignature(s)ofan	authorizedrepresentat
	Application]	of olication]	·	
	•	d to sign the Application		
	Address city/country ac	ddress]	. [insert street numbe	er/town or
	Dated on month], [insert		[insert day numbe	r] day of <i>[insert</i>
	lFor a joint ver	nture, either all member	rs shall sign or only th	he authorized

representative, in which case the power of attorney to sign on behalf of all members shall be attached]

2. Form ELI -1.1 - Applicant Information Form

Date: [insert day, month, year]
ITT No. and title: [insert ITT number and title]
Page[insert page number] of [insert total number] pages
Applicant's name [insert full name]
In case of Joint Venture (JV), name of each member:
[insert full name of each member in JV]
Applicant's actual or intended country of registration:
[indicate country of Constitution]
Applicant's actual or intended year of incorporation:
[indicate year of Constitution]
Applicant's legal address [in country of registration]:
[insert street/ number/ town or city/ country]
Applicant's authorized representative information
Name: [insert full name]
Address: [insert street/ number/ town or city/ country]
Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes]
E-mail address: [indicate e-mail address]
1. Attached are copies of original documents of
Articles of Incorporation (or equivalent documents of constitution or association),
and/or documents of registration of the legal entity named above, in accordance with ITA
5.6.
\square In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA
5.3.
☐ In case of state-owned enterprise or institution, in accordance with ITA 5.9
documents establishing:
Legal and financial autonomy
Operation under commercial law
Establishing that the Applicant is not under supervision of the Procuring Entity
2. Included are the organizational chart, a list of Board of Directors, and the beneficial
ownership.

3. Form ELI-1.2 - Applicant's JV Information Form

provide information relating to each JV member (incase the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this prequalification]
Date: [insert day, month, year]
ITT No. and title: [insert ITT number and title]
Page[insert page number] of [insert total number]
pages
Applicant name:
[insert full name]
Applicant's JV Member's name:
[insert full name of Applicant's JV Member]
Applicant's JV Member's country of registration:
[indicate country of registration]
Applicant JV Member's year of constitution:
[indicate year of constitution]
Applicant JV Member's legal address in country of constitution:
[insert street/ number/ town or city/ country]
Applicant JV Member's authorized representative information
Name: [insert full name]
Address: [insert street/ number/ town or city/ country]
Telephone/Fax numbers: [insert telephone/fax numbers, including country and city
codes]
E-mail address: [indicate e-mail address]
1. Attached are copies of original documents of
Articles of Incorporation (or equivalent documents of constitution or association),
and/or registration documents of the legal entity named above, in accordance with ITA 5.6

In case of a state-owned enterprise or institution, documents establishing legal and

financial autonomy, operation in accordance with commercial law, and they are not under

2. Included are the organizational chart, a list of Board of Directors, and the beneficial

the supervision of the Procuring Entity, in accordance with ITA 5.9.

ownership.

[The following form is additional to Form ELI-1.1., and shall be completed to

4. Form CON 2 - Historical Contract Non-Performance, and Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name]
Date: [insert day, month, year]

Joint Venture Member's Name: [insert full name] ITT No. and title: [insert ITT number and title]

Page [insert page number] of [insert total number] pages

		ts in acco	dance with Section III, Qualification Crite	ria and		
Requirem		<u></u>	1.1	7 'C' 1' C '' 111		
			did not occur since 1st January [insert year]	specified in Section III,		
		•	nents, Sub-Factor 2.1.			
			since 1st January [insert year] specified in S	ection III, Qualification		
	nd Requiremer					
Year Non- performed portion of contract		Contract	Identification	Total Contract Amount (current value, currency, exchange rate and KENYA SHILLING equivalent)		
[insert	[insert amount	Contract	Identification: [indicate complete contract	•		
year]	and	name/ number, and any other identification]				
	percentage]	Name of Procuring Entity: [insert full name]				
		Address of Procuring Entity: [insert				
		street/city/country]				
		Reason(s) for nonperformance: [indicate main reason(s)]				
Pending L	itigation, in acc	ordance w	ith Section III, Qualification Criteria and R	equirements		
	o pending litiga	tion in acc	ordance with Section III, Qualification Cri	teria and		
	nents, Sub-Facto					
•			ance with Section III, Qualification Criteria	and Requirements,		
	or 2.3 as indicat			,		
Year of Amount in			Contract Identification	Total Contract		
dispute	dispute (currency)		Amount (currency),		
	' '	//		USD Equivalent		
				(exchange rate)		

ert amount]						
and						
anu						
Requirements, Sub-Factor 2.4. Litigation History in accordance with Section III, Qualification Criteria and Requirements,						
Doguiromonto						
Requirements,						
al Contract						
al Contract ount (currency),						
al Contract ount (currency), Equivalent						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						
al Contract ount (currency), Equivalent hange rate)						

5. Form FIN - 3.1 - Financial Situation and Performance

Financial Situation and Performance

[The following table shall be filled in following Venture]	or the Applicant and for each member of a
Applicant's Name:	[insert full name]
Date: [insert day,	, month, year]
Joint Venture Member Name:	[insert full name]
ITT No. and title:	. [insert ITT number and title]

Page...... [insert page number] of [insert total number] pages

1. Financial data

Type of Financial information			r previous _	[insert num	ber] years,
in	[insert in words]				
(currency)	(amount in currency, currency, exchange rate*, USD				
	equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position	on (Informa	tion from B	alance Sheet	t)	
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Sta	atement				
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					1

Cash Flow from Operating			
Activities			

^{*} Refer ITA 14 for the exchange rate

5.2 Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (Kenya shilling equivalent)
1		
2		
3		

5.3 Financial documents

The Applicant and its parties shall provide copies of financial statements for [number] years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- b) Be independently audited or certified in accordance with local legislation.
- c) Be complete, including all notes to the financial statements.
- d) Correspond to accounting periods already completed and audited.

Attached are copies of financial statements for the [number] years required
above; and complying with the requirements

¹If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.

6 Form FIN - 3.2 - Average Annual Construction or Supply Contracts Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint
Venture] Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name: [insert full name]
ITT No. and title: [insert ITT number and title]
Page[insert page number] of[insert total number] pages Table A
(Complete if Contractor)

Annual turn	over data (construction only)		
Year	Amount	Exchange rate*	USD equivalent
	Currency		
[indicate	[insert amount and indicate		
calendar	currency]		
year]			
		Average Annual	
		Construction	
		Turnover **	

^{*} Refer ITA 14 for date and source of exchange rate.

^{**} Total Kenya shilling equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.

Table B (Complete if Supplier)

Annual turno	over data (Supply contracts)		
Year	Amount	Exchange rate*	USD equivalent
	Currency		
[indicate	[insert amount and indicate		
calendar	currency]		
year]			
		Average Annual	
		Construction	
		Turnover **	

Refer ITA 15 for date and source of exchange rate.

^{**} Total Kenya shilling equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.

7 Form EXP - 4.1 - General Construction or Supply or service Contract Experience (Select one)

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member Name: [insert full name]

ITT No. and title: [insert ITT number and title]

Page [insert page number] of [insert total number] pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting	Ending	Contract Identification	Role of
Year	Year		Applicant
[indicate	[indicate	Contract name: [insert full name]	[insert "Prime
year]	year]	Brief Description of the Works performed by the	Contractor" or
		Applicant: [describe works performed briefly]	"JV Member" or
		Amount of contract: [insert amount in currency, mention	"Sub-contractor"
		currency used, exchange rate and KENYA SHILLING	or "Management
		equivalent*]	Contractor"]
		Name of Procuring Entity: [indicate full name]	
		Address: [indicate street/number/town or city/country]	
		Contract name: [insert full name]	[insert "Prime
		Brief Description of the Works performed by the	Contractor" or
		Applicant: [describe works performed briefly]	"JV Member" or
		Amount of contract: [insert amount in currency, mention	"Sub-contractor"
		currency used, exchange rate and KENYA SHILLING	or "Management
		equivalent*]	Contractor"]
		Name of Procuring Entity: [indicate full name]	
		Address: [indicate street/number/town or city/country]	
		Contract name: [insert full name]	[insert "Prime
		Brief Description of the Works performed by the	Contractor" or
		Applicant: [describe works performed briefly]	"JV Member" or
		Amount of contract: [insert amount in currency, mention	"Sub-contractor"
		currency used, exchange rate and Kenya shillings	or "Management
		equivalent*]	Contractor"]
		Name of Procuring Entity: [indicate full name]	
		Address: [indicate street/number/town or city/country]	

^{*} Refer ITA 15 for date and source of exchange rate.

Form EXP - 4.2(a) - Specific Construction and Contract Management Experience or Supply or service Contract Experience (*Select one*)

[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name:[insert full name]
ITT No. and title: [insert ITT number and title]
Page [insert page number] of [insert total number] pages

Similar Contract No.	Information	1		
[insert number] of [insert number	-			
of similar contracts required]				
Contract Identification	[insert conti	[insert contract name and number, if applicable]		
Award date	[insert day,	month, year, e.	.g., 15 June, 2015]	1
Completion date	[insert day,	month, year, e.	.g., 03 October, 2	017]
Role in Contract [check the appropriate box]	Prime Contractor [Member in	Management Contractor	Sub- contractor □
Total Contract Amount	[insert total contract amount KENYA SHILLING [insert in local currency] Exchange rate and tot contract amount in KI SHILLING equivalent] *		d total	
If member in a JV or sub-	[insert a	[insert total	[insert exchange ra	ate and
contractor, specify share in value	percentage	contract	total contract amo	ount in
in total Contract amount and	amount]	amount in local	KENYA SHILLING	equivalent
roles and responsibilities		currency]	*	
	[insert roles	and responsibilit	ties]	
Procuring Entity's Name:	[insert full n	ame]		
Address:	[indicate str	reet / number /	town or city / cou	intry]
Telephone/fax number	[insert telep	hone/fax numb	pers, including coul	ntry and
E-mail:	city area coo	des]	-	
	[insert e-ma	il address, if av	ailable]	

9 Form EXP - 4.2(a) (cont.) - Specific Construction and/or Contract Management Experience (cont.)

Similar Contract No. [insert number] of [insert number] of similar contracts required]	Information
Description of the similarity in accordance with Sub-Factor 4.2(a)	
of Section III:	
1. Amount	[insert amount in local currency, exchange rate, KENYA SHILLING in words and in Figures]
2. Physical size of required works	[insert physical size of items]
items	
3. Complexity	[insert description of complexity]
4. Methods/Technology	[insert specific aspects of the methods/technology involved in the contract]
5. Construction rate for key activities	[insert rates and items]
6. Other Characteristics	[insert other characteristics as described in Section VII, Scope of Works]

10 Form EXP - 4.2(b) - Construction Experience or Supply or service contract in Key Activities (select one) Applicant's Name: [insert full name] Date: [insert day, month, year] Applicant's JV Member's Name: [insert full name] Sub-contractor's Name..... (as per ITA 24.2 and 24.3): [insert full name] ITT No. and title: [insert ITT number and title] pages All Sub-contractors for key activities must complete the information in this form as per ITA 24.2 and 24.3 and Section III, Qualification Criteria and Requirements, 4.2. Key Activity No. One: [insert brief description of the Activity, emphasizing its specificity Total Quantity of Activity under the contract: Information Contract Identification [insert contract name and number, if applicable] Award date [insert day, month, year, e.g., 15 June, 2015] [insert day, month, year, e.g., 03 October, 2017] Completion date Role in Contract Prime Member in Management Sub-[check the appropriate box] Contractor Jν Contractor contractor Total Contract Amount KENYA SHILLING *[insert*] *Finsert total contract* exchange rate and total contract amount in amount in contract KENYA SHILLING currency(ies)] equivalent] Total quantity in Percentage Quantity (Volume, number or rate Actual of production, as applicable) the contract participation Quantity Performed performed under the contract per (ii) (i) year or part of the year $(i) \times (ii)$ [Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]

Year 1

Year 2

Year 3			
Year 4			
Procuring Entity's Name:	[insert full name]		
Telephone/fax number E-mail:	=	number / town or city / fax numbers, including of ress, if available]	· -

2. Activity No. Two 3.

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	
	[insert response to inquiry indicated in left column]

PART 2 - WORK	s, goods or no)n - consulti	ng services requireme	:NTS
			(select one)	_

SECTION V - SCOPE OF WORKS, Goods or Non-Consulting Services required

- 1. Description of the Works or Supply contract.
- 2. Construction Period or Goods Supply Period or Non-Consulting Services Contract period.
- 3. Site and Other Data.

Request For Review

FORM FOR REVIEW (r.203 (1))

PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD
APPLICATION NOOF20
BETWEEN
APPLICANT
AND
RESPONDENT (Procuring Entity)
Request for review of the decision of the (Name of the Procuring Entity of
REQUEST FOR REVIEW
I/We,the above named Applicant(s), of address: Physical address
1.
2.
By this memorandum, the Applicant requests the Board for an order/orders that:
1.
2.
SIGNEDday of/20
FOR OFFICIAL USE ONLY Lodged with the Secretary Public Procurement Administrative Review Board onday of
SIGNED

Board Secretary